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NOTE

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Thermal Reaction of Caffeic Acid.

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1 ABSTRACT

2

3 The products from the thermal reaction of chlorogenic and caffeic acids, which is a
4 model process of roasting coffee beans, exhibited xanthine oxidase (XO) inhibitory
5 activity. From caffeic acid, six inhibitory phenylindanes were identified, and a new
6 phenylindane displayed the highest inhibitory activity among them. The activity of these
7 phenylindanes may contribute to XO inhibition-related functions of roasted coffee
8 beverages.

9

10 **Key words**

11 Phenylindane; xanthine oxidase inhibition; caffeic acid; roasted coffee

12

13 Coffee beans are known to contain large amounts of chlorogenic acid and its
14 isomers (3~8% of green beans) as polyphenol constituents, which exert various
15 biological activities including antioxidant, hepatoprotective, and hypoglycemic
16 activities,¹⁾ and might be responsible for health promoting effects of coffee beverages.²⁾

17 It should be noted that coffee beverages consumed by humans are made from roasted
18 coffee beans and not from raw beans (green beans), which are dry seeds of the tropical
19 *Rubiaceae* plant. The roasting of coffee beans consists of a high-temperature thermal
20 treatment at around 200 °C. During roasting, the characteristic color, aroma, and taste of
21 coffee are developed. This suggests that the constituents of green coffee beans are
22 converted to other compounds under the thermal process. We previously found xanthine
23 oxidase (XO) inhibitory activity, which may relate to the prevention of gout in coffee
24 consumers by reducing uric acid in their plasma,³⁾ only in roasted coffee beans⁴⁾ where
25 several XO inhibitors were identified.^{5,6)} However, other non-polar inhibitors, which
26 were suggested to exist in roasted coffee beans,⁵⁾ have not yet been identified because of
27 the high complexity of the non-polar constituents of roasted coffee beans. Stadler and
28 coworkers⁷⁾ identified two phenylindanes from the thermal treatment of caffeic acid.
29 Later, Frank and coworkers⁸⁾ found that such phenylindanes existed in roasted coffee
30 beans. These phenylindanes should be characteristic non-polar compounds of roasted

31 coffee beans. Therefore, we attempted to isolate such phenylindane derivatives from the
32 thermal reaction of coffee bean constituents and examine their XO inhibitory activity.

33 In a screw-capped test tube (i.d. 8 mm, L. 100 mm) were placed 10 mg of
34 chlorogenic acid (Carbosynth, Compton, UK), caffeic acid (Kanto Chemicals, Tokyo,
35 Japan), or quinic acid (MilliporeSigma, St. Louis, USA) with methanol (200 μ L) and
36 400 μ L of phosphate buffer (500 mmol/L, pH 6.0, from Na_2HPO_4 and KH_2PO_4). After
37 removing the solvent *in vacuo*, the tube was heated in a metal block bath. After cooling
38 the tube, methanol (1 mL) was added and the mixture was centrifuged at 2000 rpm for 5
39 min at 25 $^\circ\text{C}$ to give a supernatant. After evaporation of the solvent from the supernatant,
40 the XO inhibitory activity was measured using a previously reported method.⁴⁾ Figure
41 1A shows the XO inhibitory activity of the products from the thermal reaction at 200 $^\circ\text{C}$
42 of chlorogenic acid, caffeic acid, and quinic acid. The product mixture obtained from
43 heating chlorogenic acid expressed XO inhibitory activity at the concentration of 0.3
44 mg/mL, whereas that obtained from quinic acid, whose structure is contained in
45 chlorogenic acid, did not show significant XO inhibitory activity for 1 h of reaction time
46 (X-axis of Fig. 1A) under employed conditions. Although caffeic acid, which is also a
47 structure contained in chlorogenic acid, displayed weak XO inhibitory activity, the
48 product mixture obtained from its thermal treatment exhibited enhanced inhibitory

49 activity and the activity was stronger than that of the product mixture of chlorogenic
50 acid (Fig. 1A). These results indicate that the thermal reaction of caffeic acid (140 °C ~)
51 produces efficient XO inhibitors, which are expected to contain phenylindanes
52 according to Stadler.⁷⁾ Figure 1B shows the XO inhibitory activity of the products
53 obtained from thermal reaction of caffeic acid at three different temperatures (reaction
54 time is expressed in X-axis) . The 170 °C reaction showed maximal XO inhibition
55 efficiency at short time within 30 min, and then the activity gradually decreased. In
56 contrast, the 140 °C reaction increased XO inhibition continuously for 1 h until almost
57 the same maximal activity. Therefore, the temperature of 140 °C was chosen for the
58 large-scale reaction because it was easy to monitor the reaction progress by HPLC
59 analysis.

60 Thus, a large-scale caffeic acid-phosphate buffer salt mixture was prepared as
61 described [caffeic acid (10 g) was dissolved in 100 mL of methanol and 400 mL of 500
62 mmol/L Na₂HPO₄-KH₂PO₄ (pH 6.0) and then evaporated to dryness]. The solid mixture
63 was heated in a stainless reactor (i.d. 14 cm; h. 15 cm) under a N₂ atmosphere at 140 °C
64 for 90 min. After cooling, the reaction mixture was extracted twice with 1L of methanol.
65 This procedure was repeated ten times (in total 100 g of caffeic acid were treated). After
66 removal of the methanol from the extract, the residue was used for the isolation of the

67 products. Part of the residue (84 g) was subjected to Amberlite XAD-7 column
68 chromatography eluted with increasing percent of methanol (50% to 100%) in water,
69 which produced 8 separate fractions. Fraction 3 (208 mg out of 6.5 g), which was eluted
70 with 60% methanol in water, was purified by preparative HPLC under the following
71 conditions [column, Cosmosil 5C18-AR-II (250x20 mm i.d.); solvent, 1% acetic acid in
72 H₂O-CH₃CN = 85:15; flow rate, 9.6 mL/min; detection, 280 nm]. Products **1** (3 mg), **2**
73 (3 mg), **3** (62 mg), and **4** (6 mg) were isolated from the peaks at retention times: 34 min,
74 39 min, 24 min, and 28 min, respectively. Products **5** (87 mg) and **6** (134 mg) were
75 isolated from fraction 6 (an eluted fraction with 75% methanol in water) using
76 Sephadex LH-20 column chromatography and subsequent HPLC purification [column,
77 Cosmosil 5C18-AR-II (250x20 mm i.d.); solvent, 1% acetic acid in H₂O-CH₃CN =
78 75:25; flow rate, 9.6 mL/min; detection, 280 nm; collected peaks, retention time 43min
79 (product **5**) and 47 min (product **6**)].

80 Product **5** showed a molecular-related ion peak at m/z 295 in the ESI-MS. The ¹H
81 NMR of **5** showed two sets of aromatic protons, one at 6.48 (dd, $J=7.8$ and 1.8 Hz),
82 6.53 (d, $J=1.8$ Hz), and 6.69 (d, $J=7.8$ Hz) ppm, and another one at 6.68 (brs) and 6.42
83 (brs) ppm, indicating the presence of a tri-substituted and a tetra-substituted benzene
84 rings. Geminal coupled protons were observed at 2.09 and 2.19 ppm, which were both

85 coupled with the protons at 4.16 and 3.22 ppm. The proton at 3.22 ppm was also
86 coupled with the methyl protons at 1.23 ppm. These data indicated that **5** is a
87 phenyl-substituted indane derivative. From the comparison of the ^1H NMR analytical
88 data (chemical shifts and coupling constants), we concluded that **5** is the 1,3-*trans*
89 isomers of Stadler's phenylindanes.⁷⁾ Product **6** showed the same molecular-related ion
90 at m/z 295 and very similar ^1H NMR data to those of **5**. Typical differences were
91 observed in the chemical shifts and coupling constants of the protons at 1-, 2-, and
92 3-positions of the indane structure, which indicate that **6** is the *cis* isomer of **5**⁷⁾ as
93 shown in Fig. 2.

94 Products **3** and **4** showed similar ^1H NMR data to those of **5** and **6**. The comparison
95 of the ^1H NMR spectra of **3** and **4** revealed that one proton signal at corresponding to
96 the 2-methylene was lacking and another proton signal was shifted to higher frequency
97 (3.29 ppm) in the spectrum of **3**. The negative ESI-MS showed a molecular-related ion
98 at m/z 271.0986, which indicated that **3** had the molecular formula $\text{C}_{17}\text{H}_{16}\text{O}_6$. These data
99 suggested the presence of a carboxylic acid group at the 2-position. The m/z value of
100 653.1634 observed in the ESI-MS was assigned to a characteristic carboxylic acid
101 cluster ion $[2\text{M}-2\text{H}+\text{Na}]^-$. The relative stereochemistry of the three substituted
102 non-aromatic carbons of the indane was determined to be relative *1S*, *2R*, *3S* according

103 to an NOE observed from 1-methyl protons to the proton at the 3-position and a very
104 strong NOE observed from 2-H to the proton at the 2-position of 3-phenyl group in the
105 NOE differential spectra of **3**. Although product **4** shows a similar ^1H NMR spectrum of
106 **3**, some differences are observed in the chemical shifts and coupling constants of
107 protons at 1-, 2-, and 3-positions. Moreover, the observed NOEs from 1-methyl protons
108 to the protons at 2- and 2'-positions suggested that relative stereochemistry is *1S, 2S, 3R*.
109 The structures of **3** and **4** are shown in Fig.2. The planar structure of **3** and **4** was
110 already reported as a forming aid obtained from coffee in a US patent by Martine and
111 coworkers.⁹⁾

112 The ESI-MS data showed peaks at m/z 315.0897 ($\text{C}_{17}\text{H}_{15}\text{O}_6$ [$\text{M}-\text{H}$] $^-$) and 653.1628
113 ($\text{C}_{34}\text{H}_{30}\text{O}_{12}\text{Na}$ [$2\text{M}-2\text{H}+\text{Na}$] $^-$) for product **1**, and 315.0902 ($\text{C}_{17}\text{H}_{15}\text{O}_6$ [$\text{M}-\text{H}$] $^-$) and
114 653.1629 ($\text{C}_{34}\text{H}_{30}\text{O}_{12}\text{Na}$ [$2\text{M}-2\text{H}+\text{Na}$] $^-$) for product **2**. Moreover, similar ^1H NMR data
115 for both compounds indicated that they were stereoisomers of each other. The ^1H NMR
116 of **2** revealed the presence of a 1,3,4-tri-substituted benzene and a
117 1,3,4,6-tetra-substituted benzene similar to other isolated products. A proton network
118 ($\text{CH}-\text{CH}_2-\text{CH}-\text{CH}_2$), which was identified from the COSY, suggested a two-substituted
119 indane structure similar to that of **5** and **6**. The chemical shift of a terminal proton at
120 4.03 ppm was assigned to a methine proton signal between two benzene rings, while the

121 signals at 2.37 and 2.83 ppm, with coupling constants characteristic geminal protons,
122 were assigned to protons adjacent to a carboxylic acid. The assignments were confirmed
123 by the HMBC correlation between the methylene protons and a carbonyl carbon at 179
124 ppm (this carbon chemical shift was obtained from the F1-projection of the HMBC
125 spectrum). Taking into consideration the above data, structure **2** was assigned as a newly
126 identified compound:
127 1-hydroxycarbonylmethyl-3-(3,4-dihydroxy)phenyl-5,6-dihydroxyindane. The relative
128 stereochemistry of the acetic acid group at the 1-position and the dihydroxyphenyl
129 group at the 3-position was determined to be *cis* (structure **2** in Fig. 2) from the NOESY
130 of **2** (one NOE correlation between 1-CH₂ and 2'-H, and other between 1-H and 3-H).
131 The ¹H NMR spectral data of **1** indicated that **1** is a stereoisomer of **2** concerning the 1-
132 and 3-positions of the indane scaffold, which was deduced from a clear NOE correlation
133 observed between the 1-methylene protons and the proton at 3-position. Thus, **1** was
134 identified as a new compound with *trans* stereochemistry of the
135 1-hydroxycarbonylmethyl and 3-dihydroxyphenyl groups as shown in Fig. 2.

136 The XO inhibitory activity of the isolated phenylindanes (concentration: 200
137 μmol/L) was measured by a previously reported procedure,⁴⁾ which is based on the
138 quantitative HPLC analysis of produced uric acid, the data are summarized in Table 1.

139 While caffeic acid showed almost no activity at the measured concentration, isolated
140 phenylindanes exerted stronger activity than caffeic acid. Especially newly identified
141 phenylindane **1** had the most potent activity (62 % inhibition at 200 $\mu\text{mol/L}$) among
142 them. Pyrogallol (IC_{50} 0.73 $\mu\text{mol/L}$) and chlorogenic acid 1,5-lactones (IC_{50} 210~360
143 $\mu\text{mol/L}$) isolated from roasted coffee beans have been identified as XO inhibitors.
144 Although the phenylindanes identified in this work have moderate XO inhibitory
145 activity comparing with potently active pyrogallol, they are non-polar inhibitors
146 produced from caffeic acid, which may play a role in the XO inhibitory activity exerted
147 by roasted coffee.
148

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- 164

Table 1 XO inhibitory activity of identified phenylindanes (200 $\mu\text{mol/L}$) from thermal reaction product of caffeic acid

compound	% inhibition (mean \pm SD, n=3)
1	61.9 \pm 0.6
2	47.0 \pm 0.6
3	18.8 \pm 3.5
4	20.4 \pm 2.6
5	25.5 \pm 2.3
6	35.5 \pm 2.6
Caffeic acid	4.0 \pm 2.2
Allopurinol (0.5 $\mu\text{mol/mL}$) ^a	51.9 \pm 1.8

^a 0.5 $\mu\text{mol/mL}$ of allopurinol was employed as positive control.

Figure Legends

Figure 1. Panel A, XO inhibitory activity of thermal reaction products (0.3 mg/mL) from chlorogenic acid, caffeic acid and quinic acid at 200 °C. Scale of X-axis expresses reaction time. Data are expressed at the mean \pm SD (n=3)

Panel B, XO inhibitory activity of thermal reaction product (0.3 mg/mL) from caffeic acid at the different temperatures. Scale of X-axis expresses reaction time. Data are expressed at the mean \pm SD (n=3)

Figure 2. Structures of identified phenylindanes from the thermal reaction of caffeic acid

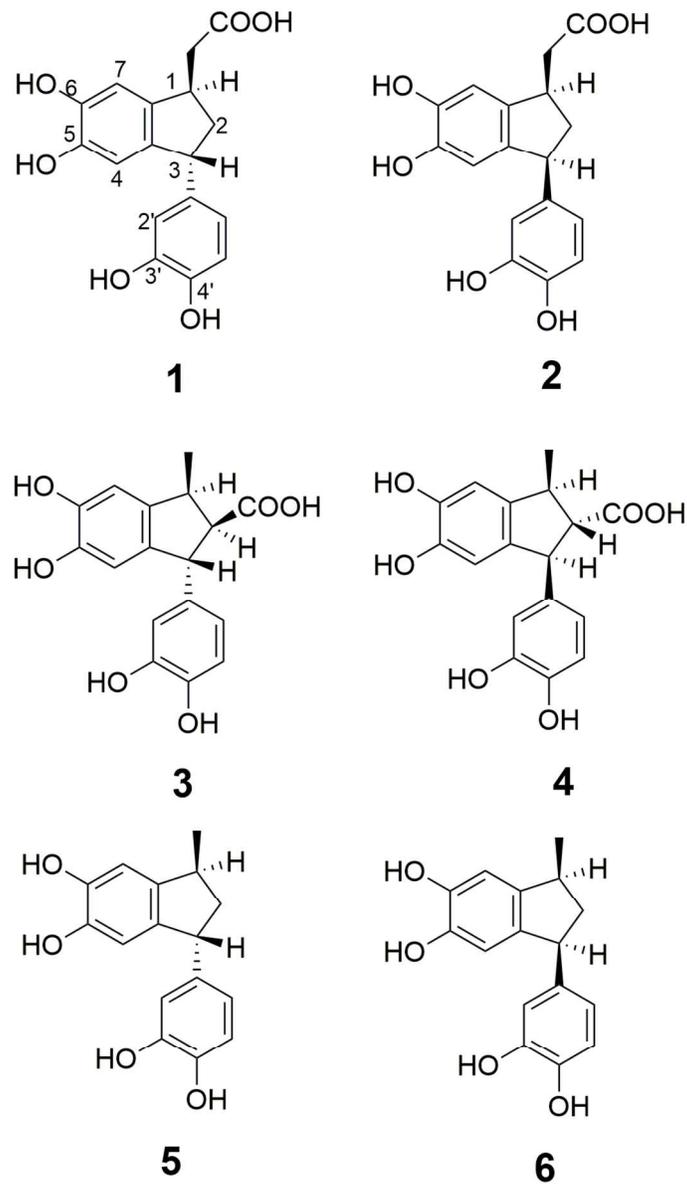


Figure 2. Structures of identified phenylindanes from the thermal reaction of caffeic acid

85x149mm (300 x 300 DPI)

Supplemental (Fukuyama et al.)

Table S1. ¹H-NMR Data of Products 1—6 (400 MHz for ¹H in CD₃OD)

position	Product					
	1	2	3	4	5	6
1	3.58 (dt, 6.4, 8.6)	3.43 ^f (dt, 5.9, 8.6)	3.48 (quin, 7.4)	3.27 (dq, 7.2, 9.7)	3.22 (dquin, 6.0, 6.9)	3.05 (dquin, 7.1, 10.0)
2	2.23 (m)	1.61 (dt, 10.0, 12.4)	3.29 ^a (dd, 7.4, 9.8)	2.64 (t, 9.7)	2.09 (ddd, 6.0, 7.8, 12.8)	1.47 (m)
		2.71 (dt, 7.4, 12.4)				2.62 (dt, 7.1, 12.4)
3	4.18 ^d (t, 7.4)	4.03 ^f (dd, 7.4, 10.0)	4.51 (d, 9.8)	4.33 (d, 9.7)	4.16 (dd, 6.0, 7.8)	3.97 (dd, 7.1, 10.6)
4	6.35 (s)	6.32 (s)	6.30 (s)	6.30 (s)	6.42 (s)	6.31 (s)
7	6.75 (s)	6.72 (s)	6.68 (s)	6.66 (s)	6.68 (s)	6.67 (s)
2'	6.56 (d, 2.0)	6.64 ^e (d, 1.8)	6.67 (d, 2.2)	6.66 (s)	6.53 (d, 1.8)	6.63 (d, 2.2)
5'	6.70 (d, 7.8)	6.73 (d, 8.0)	6.73 (d, 8.2)	6.74 (d, 7.8)	6.69 (d, 7.8)	6.73 (d, 8.0)
6'	6.51 (dd, 2.0, 7.8)	6.58 (dd, 1.8, 8.0)	6.60 (dd, 2.2, 8.2)	6.59 (dd, 2.0, 7.8)	6.48 (dd, 1.8, 7.8)	6.58 (dd, 2.2, 8.0)
1-CH ₂	2.37 ^d (dd, 8.6, 14.8) 2.56 ^d (dd, 6.4, 14.8)	2.37 ^{e,g} (dd, 8.6, 14.8) ^b 2.83 ^{e,g} (dd, 5.9, 14.8) ^b	—	—		
1-CH ₃	—	—	1.16 ^b (d, 7.4)	1.42 ^c (d, 7.2)	1.23 (d, 6.9)	1.32 (d, 7.1)

Coupling pattern and constants (*J* in Hz) are described in parenthesis.

^a NOE-observed proton with 2'-H of the same compound; ^b NOE-observed proton with 3-H of the same compound; ^c NOE-observed proton with 2-H and 2'-H of the same compound; ^{d,e,f} The same character shows the correlated proton group in the NOESY of each compound; ^g Proton correlated with the carbonyl carbon of carboxylic acid group (δ 179) in the HMBC of the same compound