

# Anethole potentiates dodecanol's fungicidal activity by reducing PDR5 expression in budding yeast

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2 **budding yeast**

3

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18

19 **Abstract**

20 *Background:* *trans*-Anethole (anethole), a major component of anise oil, has a broad  
21 antimicrobial spectrum and a weaker antimicrobial potency than other available antibiotics.

22 When combined with polygodial, nagilactone E, and *n*-dodecanol, anethole has been shown to  
23 exhibit synergistic antifungal activity against a budding yeast, *Saccharomyces cerevisiae*, and a  
24 human opportunistic pathogenic yeast, *Candida albicans*. However, the mechanism underlying  
25 this synergistic effect of anethole has not been characterized.

26 *Methods:* We studied this mechanism using dodecanol-treated *S. cerevisiae* cells and focusing on  
27 genes related to multidrug efflux.

28 *Results:* Although dodecanol transiently reduced the number of colony forming units, this  
29 recovered to levels similar to those of untreated cells with continued incubation beyond 24 h.  
30 Reverse transcription polymerase chain reaction analysis revealed overexpression of an ATP-  
31 binding cassette (ABC) transporter gene, *PDR5*, in addition to a slight increase in *PDR11*,  
32 *PDR12*, and *PDR15* transcriptions in dodecanol-treated cells. In the presence of anethole, these  
33 effects were attenuated and the fungicidal activity of dodecanol was extended. Dodecanol showed  
34 longer lasting fungicidal activity against a  $\Delta pdr5$ . In addition,  $\Delta pdr3$  and  $\Delta lge1$ , lack  
35 transcription factors of *PDR5* and *PDR3*, were partly and completely susceptible to dodecanol,  
36 respectively. Furthermore, combination of anethole with fluconazole was also found to exhibit

37 synergy on *C. albicans*.

38 *Conclusions:* These results indicated that although anethole reduced the transcription of several  
39 transporters, *PDR5* expression was particularly relevant to dodecanol efflux.

40 *General significance:* Anethole is expected to be a promising candidate drug for the inhibition of  
41 efflux by reducing the transcription of several ABC transporters.

42

43 *Keywords:* *S. cerevisiae*, Multidrug resistance, *PDR5*, Anethole, Antifungal, Dodecanol

44

45 *Abbreviations:* pleiotropic drug resistance (PDR), ATP-binding cassette (ABC), reverse  
46 transcription polymerase chain reaction (RT-PCR), colony-forming units (CFU), minimum  
47 growth inhibitory concentration (MIC), minimum fungicidal concentration (MFC), fractional  
48 inhibitory concentration (FIC), fractional fungicidal concentration (FFC), major facilitator  
49 superfamily (MFS).

50

## 51 **1. Introduction**

52 The development of antifungal antibiotics with novel modes of action and fewer adverse effects in  
53 humans is urgently required because of an increase in opportunistic fungal infections in  
54 immunocompromised patients (e.g., due to immunosuppressant therapy after organ transplant,  
55 acquired immune deficiency syndrome, or leukemia) and the elderly [1]. Current antifungal targets  
56 are limited to the functions and structures unique to fungi, namely ergosterol, the cell wall, and  
57 cytosine deaminase. Polyene macrolide antifungals, typified by amphotericin B [2], directly bind  
58 ergosterol in the plasma membrane and then form pores. These drugs have excellent antifungal  
59 potencies and spectra, but can cause nephropathy as an adverse effect. Azole antifungals such as  
60 miconazole, fluconazole, and itraconazole inhibit ergosterol biosynthesis [3]. Their adverse effects  
61 include liver damage and menstrual abnormalities [4]. In addition, clinical isolates have been  
62 reported to show resistance to these antifungals, especially azoles [5]. In susceptible fungal cells,  
63 a synthetic fluorinated analogue of cytosine, fluorocytosine, is converted to 5-fluorouracil by  
64 cytosolic cytosine deaminase, which human cells do not express [6]. However, fluorocytosine-  
65 resistant fungal strains have frequently been reported in clinical isolates [7]. The most recently  
66 developed echinocandins, consisting of micafungin, anidulafungin and caspofungin, inhibit  $\beta$ -1,3-  
67 glucan synthase thereby weakening fungal cell wall [8]. The occurrence of *Candida* clinical isolates  
68 with lower susceptibility to echinocandins has been also reported, which is possibly due to its broad

69 clinical use [9]. Therefore, strategies for overcoming drug-resistance should be developed to  
70 improve antifungal chemotherapy.

71 *trans*-Anethole (anethole), a chief component of anise and fennel oils, has been reported to  
72 exhibit antimicrobial activity against bacteria, yeasts, and filamentous fungi [10-12]. We recently  
73 revealed that anethole-induced growth inhibition and morphological changes in a filamentous  
74 fungus, *Mucor mucedo*, depended on cell wall fragility that was caused by chitin synthase  
75 inhibition [12]. In addition, we also found that anethole showed fungicidal activities against a  
76 human opportunistic pathogenic fungus, *Aspergillus fumigatus*, and a budding yeast,  
77 *Saccharomyces cerevisiae*, that were accompanied by generation of reactive oxygen species and  
78 DNA fragmentation, indicating apoptotic-like cell death [13]. Although the antimicrobial potency  
79 of anethole is weaker than those of other available antifungals, anethole synergistically enhanced  
80 the antifungal activities of polygodial, nagilactone E, 2*E*-undecenal, and dodecanol against a  
81 budding yeast, *S. cerevisiae*, and a human pathogenic fungus, *Candida albicans* [14-17].

82 Anise oil is frequently used as a food additive in seasoning and herbal tea [18], indicating that  
83 anethole exhibits low toxicity in humans. If the mechanisms underlying the synergistic effects of  
84 anethole can be elucidated, this may inform the development of combination antifungal  
85 chemotherapies using lower drug doses, thereby reducing the risk of adverse effects.

86 Anethole exhibits synergistic effects in combination with several antifungals that are

87 chemically unrelated and act by different mechanisms [14-16]. We hypothesized that this  
88 phenomenon was associated with a reduction in multidrug resistance. The present study therefore  
89 focused on multidrug efflux pumps, which are closely associated with the mechanism underlying  
90 drug resistance, in order to analyze the synergistic fungicidal effects of anethole and a model  
91 drug, *n*-dodecanol (dodecanol), in budding yeast, *S. cerevisiae*. This yeast is protected against  
92 xenobiotics by multidrug efflux pumps, including the pleiotropic drug resistance (PDR)  
93 transporters [19]; these belong to the family of ATP-binding cassette (ABC) transporters. Fungal  
94 pathogen drug resistance is often caused by the overexpression of ABC transporters [20].

95 Dodecanol was selected for this study because it shows unusual antifungal effects on *S.*  
96 *cerevisiae* cells [14]. This compound produces a transient fungicidal effect during short-term  
97 exposure but over the longer term, yeast cell proliferation is gradually restored. This growth  
98 eventually recovers completely and attains the same level observed under control conditions. The  
99 present study investigated the ability of anethole to restrict this recovery and extend the  
100 fungicidal activity of dodecanol.

101 In this study, we analyzed yeast gene expression using reverse transcription polymerase chain  
102 reaction (RT-PCR) and found that dodecanol induced overexpression of *PDR5* and that anethole  
103 attenuated dodecanol-induced *PDR5* overexpression.

104

105 **2. Materials and methods**

106 *2.1 Chemicals*

107 All chemicals were purchased from Sigma-Aldrich Co. (St. Louis, MO), unless otherwise  
108 stated. Drugs (including anethole) were diluted with *N,N*-dimethylformamide prior to the  
109 following experiments.

110 *2.2 Yeast strains and culture*

111 The wild-type strain of *S. cerevisiae*, ATCC 7754, was obtained from the American Type  
112 Culture Collection (Manassas, VA). The parental strain of *S. cerevisiae* BY4741 (*MATa*, *ura3-*  
113  $\Delta 0$ , *leu2- $\Delta 0$* , *met15- $\Delta 0$* , and *his3- $\Delta 1$* ) and its deletion strains ( $\Delta pdr1$ ,  $\Delta pdr3$ ,  $\Delta pdr5$ ,  $\Delta pdr11$ ,  
114  $\Delta pdr12$ ,  $\Delta pdr15$ ,  $\Delta yor1$ ,  $\Delta snq2$ ,  $\Delta lge1$ ,  $\Delta psd1$ , and  $\Delta ssal$ ) were purchased from OPEN  
115 Biosystems (Lafayette, CO). *C. albicans* IFO 1061 was obtained from Institute for Fermentation,  
116 Osaka (Osaka, Japan). Exponentially growing yeast cells ( $10^6$  cells/ml) were incubated in 3 ml of  
117 2.5% malt extract (ME; Oriental Yeast Co., Tokyo, Japan) broth without shaking at 30°C, unless  
118 otherwise stated. For the determination of minimum inhibitory concentrations (MICs), the assay  
119 tubes were incubated without shaking at 30°C for 48 h. The MIC is the lowest concentration of  
120 test compound that demonstrated no visible growth. The minimum fungicidal concentrations  
121 (MFCs) were examined as follows. After determining the MIC, a 30  $\mu$ L of aliquot was taken  
122 from each clear tube and added into 3 mL of YPD (1% yeast extract, 2% polypeptone and 2%

123 glucose) medium. After 48-h incubation, the MFC was determined as the lowest concentration of  
124 the test compounds in which no recovery of microorganisms was observed. Cell viability was  
125 determined by counting the colony-forming units (CFU) on YPD agar plates [21]. All assays for  
126 MIC, MFC, time-kill studies and RT-PCR were performed at least three times on separate  
127 occasions. Representative time-kill curves obtained in the CFU assays were shown in Figures.

### 128 *2.3 RT-PCR*

129 The relative expression level of each gene related to drug efflux pumps was compared using  
130 RT-PCR. Exponentially growing cells ( $10^6$  cells/ml) were incubated with or without drugs in ME  
131 broth without shaking at 30°C for 4 h; the cells were then harvested by centrifugation. Total  
132 mRNA was isolated from the cells using the RNeasy Kit (QIAGEN, Tokyo, Japan). The total  
133 mRNA concentration was estimated at 260 nm. Reverse transcription was carried out using  
134 ReverTra Ace®, 5 × RT Buffer, RNase inhibitor, 2 mM dNTPs Mixture (TOYOBO, Osaka,  
135 Japan), and CDS-primer. The mixture was incubated under the following conditions: 30°C for 10  
136 min, 42°C for 60 min, and 99°C for 5 min. PCR was then performed using the resultant cDNA,  
137 10 × PCR Buffer, rTaq DNA polymerase (Bio-Rad), dNTPs, and the forward and reverse primers  
138 described below. All fragments were amplified by incubation 94°C for 2 min followed by 40  
139 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 90 s, followed by a final extension at 72°C  
140 for 5 min using. The primers employed were designed using Primer 3 software

141 (<http://frodo.wi.mit.edu/primer3/>) and were: *ACT1*-forward, 5'-ATGGTCGGTATGGGTCAAAA-  
142 3'; *ACT1*-reverse, 5'-AACCAGCGTAAATTGGAACG-3'; *PDR1*-forward, 5'-  
143 GGAGCGAAGCTTTTGACAAC-3'; *PDR1*-reverse, 5'-CTGCAGAAATGGTGCTCGTA-3';  
144 *PDR3*-forward, 5'-GTTTGGGCATGTTTGGACTT-3'; *PDR3*-reverse, 5'-  
145 CCCGGTTCAACTTCTTTCAA-3'; *PDR5*-forward, 5'-GTTGCCTAAACCCAGGTGAA-3';  
146 *PDR5*-reverse, 5'-ATTGCTACTTCCGCCAAATG-3'; *PDR10*-forward, 5'-  
147 CCAGTCTTTCCCCAGATCAA-3'; *PDR10*-reverse, 5'-CGCGACTAGCCAATTTCTTC-3';  
148 *PDR11*-forward, 5'-CCAGTCTTTCCCCAGATCAA-3'; *PDR11*-reverse, 5'-  
149 CGCGACTAGCCAATTTCTTC-3'; *PDR12*-forward, 5'-AACCTGTTGAGGGAGGAGGT-3';  
150 *PDR12*-reverse, 5'-GTTGAAAGAAGCAGGCAAGG-3'; and *PDR15*-forward, 5'-  
151 TACGGACATGGAAGGTGTGA-3'; *PDR15*-reverse, 5'-GGTCTCCCAAGAACAACCAA-3'.

152 Each amplified DNA sample was electrophoresed on 1% agarose gel, stained with GelRed  
153 (Biotium, Inc., Hayward, CA), and visualized under UV light. The relative expression levels of  
154 each gene were quantified using Fujifilm Multi Gauge Version 2.1. Data are means  $\pm$  standard  
155 deviations of triplicate experiments.

#### 156 2.4 Acquisition of dodecanol-resistant strains

157 *S. cerevisiae* ATCC7754 cells were incubated in 2.5% ME broth with 250  $\mu$ M dodecanol  
158 for 48 h. After incubation, cells were harvested and spread on an ME agar plate. Colonies formed

159 on the plate represented spontaneous revertants.

#### 160 *2.4 FIC and FFC indices*

161 Combination studies were performed by a broth checkerboard method [22]. A series of 2-fold

162 dilutions of one compound were tested in combination with 2-fold dilutions of the other compounds.

163 The assays were performed in triplicate on separate occasions. Fractional inhibitory concentration

164 (FIC) indices were calculated from checkerboard data. The FICs for these combinations were

165 calculated as  $(\text{MIC}_{\text{a combination}}/\text{MIC}_{\text{a alone}}) + (\text{MIC}_{\text{b combination}}/\text{MIC}_{\text{b alone}})$ , where a and b

166 were two compounds tested. The FIC presented are significant values obtained from the

167 checkerboard matrix. FIC indices were used to define the interaction of combined compounds:

168 synergistic ( $X < 0.5$ ), additive ( $1 < X > 0.5$ ), indifferent ( $4 < X > 1$ ), or antagonistic ( $X > 4$ ). Fractional

169 fungicidal concentration (FFC) indices were also calculated from the checkerboard data of MFC.

170

171 **3. Results and discussion**

172 Anethole is a major component of the essential oils derived from aniseed and fennel seeds by  
173 steam distillation [23]. This phenylpropanoid has been reported to exhibit a wide variety of  
174 biological effects such as antioxidant, anti-inflammatory [24], antinociceptive [25], and anesthetic  
175 [26] activities. MIC and MFC of anethole against *S. cerevisiae* were 625 and 1250  $\mu\text{M}$ , respectively  
176 (Fig. 1). It also induced complete cell death in *S. cerevisiae* at 1250  $\mu\text{M}$ , as shown in Fig. 2. This  
177 study determined cell viability by counting CFUs. After a 72-h incubation, no revertant cells were  
178 observed in the presence of 1250  $\mu\text{M}$  anethole. In the presence of 625  $\mu\text{M}$  anethole, the viable cell  
179 number was reduced at 24 h and had recovered by 72 h. This indicated the possibility that some  
180 cells had become acclimatized to anethole-induced stress or had reacquired their reproductive  
181 capability. Anethole did not significantly affect the growth of yeast cells at 312  $\mu\text{M}$  (Fig. 2).

182 Primary aliphatic alcohols (*n*-alkanols) also show broad-spectrum antimicrobial activity against  
183 bacteria and fungi [27-29]. These alcohols exhibited fungicidal activity against a food-borne yeast,  
184 *Zygosaccharomyces bailii*, and the human opportunistic fungus, *C. albicans*, in addition to *S.*  
185 *cerevisiae* [30-32]. This activity was enhanced as the carbon chain lengthened, with the maximum  
186 fungicidal activity against *S. cerevisiae* observed for the 11-carbon undecanol [30]. However, *n*-  
187 alkanols with a carbon chain length of more than 12 do not show fungicidal activity against *S.*  
188 *cerevisiae* after long-term incubations [30]. This ‘cut off’ phenomenon is observed at different

189 carbon chain lengths, depending on the microorganism studied [33]. MIC and MFC of dodecanol  
190 against *S. cerevisiae* were 125 and >1250  $\mu\text{M}$ , respectively (Fig. 1). We could not distinguish  
191 between turbidity arising from yeast cells and that arising from dodecanol, because of the limited  
192 solubility of dodecanol at concentrations above 1250  $\mu\text{M}$  in the water-based medium. In fact, 12-  
193 carbon dodecanol did not show any fungicidal activity after 48 h, even at the highest concentrations  
194 tested in this study, as shown in Fig. 2. However, a transient reduction in cell viability was observed  
195 after 24 h.

196 Essential oils are composed of a variety of phenylpropanoids and their derivatives. Crude oils  
197 were reported to show synergistic antimicrobial activities against bacteria and fungi, including  
198 pathogens, in combination with other antibiotics and preservatives [34]. However, there have been  
199 few reports of the synergistic antimicrobial activities of purified constituents of essential oils. A  
200 derivative of phenylpropanoid, cinnamaldehyde, was reported to show synergistic antifungal  
201 effects on *A. fumigatus* when used in combination with fluconazole [35]. The synergistic antifungal  
202 and fungicidal effects of anethole combined with dodecanol were evaluated using FIC and FFC  
203 indices, respectively. The FIC index was 0.56 indicating weak synergy or additive effect (Fig. 1).  
204 On the other hand, the FFC index was assumed to be <0.31 indicating synergy as the MFC of  
205 dodecanol was >1250  $\mu\text{M}$  (Fig. 1). These effects were also confirmed based on time-kill study. As  
206 shown in Fig. 2, 312  $\mu\text{M}$  anethole did not affect yeast viability. However, the combination of 312

207  $\mu\text{M}$  anethole and 250  $\mu\text{M}$  dodecanol induced rapid loss of cell viability within 2 h, as shown in Fig.  
208 2, and there was no recovery of viability for up to 72 h. This was a significant delay in the recovery  
209 of cell viability observed in the presence of 250  $\mu\text{M}$  dodecanol alone. These results suggested that  
210 anethole extended the fungicidal effect of dodecanol on *S. cerevisiae* at concentrations which did  
211 not affect growth of this yeast.

212 To investigate the mechanism underlying this effect, the relative expression levels of multidrug  
213 efflux pump-related PDR genes were estimated using RT-PCR. *S. cerevisiae* cells have been  
214 reported to possess at least 16 ABC multidrug transport proteins [36]. In this study, *PDR5*, *PDR10*,  
215 *PDR11*, *PDR12*, *PDR15*, and *PDR18* were selected for analysis of gene expression levels, in  
216 addition to their transcription factors, *PDR1* and *PDR3*. Yeast cells were treated with or without  
217 drugs for 4 h prior to RNA extraction and analysis. As shown in Fig. 3, cells treated with dodecanol  
218 showed high expression levels of *PDR5* mRNA. The expression of *PDR11*, *PDR12*, and *PDR15*  
219 was also slightly increased. The mRNA level of another PDR efflux pump, *PDR10*, was not  
220 affected by treatment with dodecanol. Conversely, the levels of *PDR8* and *PDR18* mRNAs were  
221 slightly reduced by exposure to dodecanol. These results indicated that dodecanol strongly  
222 promoted the gene expression of a multidrug efflux pump, *PDR5*. The protein encoded by *PDR5*,  
223 Pdr5p, is one of the most extensively characterized pumps involved in the efflux of harmful drugs  
224 for cellular detoxification [17, 19]. Previous investigations have revealed factors involved in

225 positive and negative regulation of *PDR5* expression [37-41]. The transcription factors, Pdr1p and  
226 Pdr3p, promote the expression of *PDR5* [38, 42]. Pdr1p and Pdr3p possess a Zn(II)<sub>2</sub>Cys<sub>6</sub> binuclear  
227 cluster DNA binding domain, which binds to the promoter region of *PDR5* and promotes its  
228 expression [38, 42]. The expression levels of *PDR1* and *PDR3* were slightly increased in cells  
229 treated with dodecanol (Fig. 3). In contrast to findings obtained with dodecanol, anethole reduced  
230 *PDR5* expression, as compared with the level observed in control cells (Fig. 3). Surprisingly, yeast  
231 cells exposed to anethole combined with dodecanol showed lower levels of *PDR5* mRNA than  
232 control cells. This treatment also reduced the expression of *PDR1* and *PDR3*, as compared with the  
233 level observed in cells treated with dodecanol alone. Furthermore, the levels of *PDR11*, *PDR12*,  
234 and *PDR15* mRNAs were slightly reduced. *PDR11* expression is regulated by Pdr1p [43], while  
235 *PDR10* and *PDR15* are regulated by both Pdr1p and Pdr3p [42, 44]. Pdr12p is required for the  
236 efflux of weak acids and its gene expression is regulated by a Zn(II)<sub>2</sub>Cys<sub>6</sub> zinc finger transcription  
237 factor, War1p [45]. The results shown in Fig. 4 could not fully elucidate the roles of *PDR1* and/or  
238 *PDR3* on the regulation of *PDR* genes in yeast cells treated with anethole but they did indicate that  
239 the dodecanol-induced increase in *PDR5* expression was attenuated in the presence of anethole. In  
240 addition, our results indicated the possibility that anethole regulated the expression of genes  
241 encoding several multidrug efflux pumps, other than *PDR5*.

242 We evaluated the antifungal activities of dodecanol against several yeast strains lacking PDR-

243 related genes. Dodecanol did not show antifungal activity against the wild-type or parental strain  
244 after 48 h because of the recovery of cell viability (Fig. 2). Therefore, MIC were determined by  
245 measuring the culture turbidity after 48-h incubations. The MIC of dodecanol was 1000  $\mu$ M in the  
246  $\Delta pdr5$  strain, cultured at an initial cell density of  $10^7$  cells/ml. We did not determine the MIC values  
247 for the other deletion strains tested in this study, or for the parental strain, as described above.  
248 Therefore, the MIC values of these strains were assumed to be  $>1250$   $\mu$ M. These results suggested  
249 that the dodecanol-induced overexpression of *PDR5* was involved in its transient antifungal effect.  
250 We also investigated the effects of 250  $\mu$ M dodecanol on the growth of several *PDR*-related  
251 deletion mutant strains cultured at an initial cell density of  $10^6$  cells/ml. As shown in Fig. 4, a  
252 temporary decrease in CFU was observed in all strains tested, with the exception of  $\Delta pdr5$ . The  
253 number of  $\Delta pdr5$  CFU did not recover until 72-h. The  $\Delta pdr3$  CFU showed a partial recovery.  
254 Complete recovery of CFU was observed in the other deletion strains examined and in the parental  
255 strain, at 48 h except  $\Delta pdr3$ . These results suggested that resistance against dodecanol required the  
256 expression of *PDR3* and *PDR5*. *PDR5* transcription was previously reported to be strongly  
257 enhanced in cells containing hyperactive *PDR1* dominant alleles through the binding of  
258 transcriptional regulatory proteins to three sites in the *PDR5* promoter region [46]. However, in  
259 relation to dodecanol resistance, Pdr1p is probably not involved in the transcriptional control of  
260 *PDR5*. Expression of other major multidrug efflux pump genes *YOR1* and *SNQ2*, which are

261 involved in the exhaust of organic anions and metal cations, respectively, is partially promoted by  
262 the presence of Pdr1p [47]. The growth of  $\Delta yor1$  and  $\Delta snq2$  cells were also recovered after 72-h  
263 treatment with dodecanol (Fig. 4 lower panel). On the other hand, loss of mitochondrial DNA ( $\rho^0$ )  
264 leads to a strong induction of *PDR5* gene expression that is strictly Pdr3p-dependent [48]. *LGE1*  
265 deletion fails to fully induce *PDR5* transcription in  $\rho^0$  cells [49]. The Hsp70 protein Ssa1p has been  
266 reported to be as a negative regulator of *PDR3* transcription factor [50]. Thus, we also examined  
267 the effect of dodecanol on the growth of  $\Delta lge1$ ,  $\Delta psd1$  and  $\Delta ssa1$  (Fig. 4 lower panel). The growth  
268 recovery of  $\Delta lge1$  cells were not observed in treatment with dodecanol among the three strains  
269 tested.

270 Next, we evaluated the expression levels of *ACT1*, *PDR1*, *PDR3* and *PDR5* in the cells of  
271  $\Delta pdr1$ ,  $\Delta pdr3$ ,  $\Delta lge1$ , and their parental strain treated with or without dodecanol (Fig. 5). The  
272 expressions of *ACT1* were not affected by dodecanol in the strains tested. The expression levels of  
273 *PDR3* and *PDR5* were similar in dodecanol-treated cells of  $\Delta pdr1$  and the parental strain. While  
274 the expressions of *PDR5* were significantly reduced by treatment with dodecanol in  $\Delta pdr3$  and  
275  $\Delta lge1$ . The reduction levels in  $\Delta lge1$  were more potent than those in  $\Delta pdr3$ . These results were  
276 supported by no growth recovery in  $\Delta lge1$  cells treated with dodecanol (Fig. 4) and then indicated  
277 that dodecanol stress might go through mitochondria. Anethole induces apoptotic-like cell death of  
278 *S. cerevisiae* due to generation of reactive oxygen species [13]. ROS generation is mainly derived

279 from mitochondria [13]. Thus, anethole might cut off the signal transduction around mitochondria  
280 thereby finally restricting *PDR5* expression. Furthermore, the expressions of *PDR1* were reduced  
281 by treatment with dodecanol in  $\Delta pdr3$  and  $\Delta lge1$ . Conversely, *PDR1* deletion did not affect *PDR3*  
282 expression. *PDR3* and *LGE1* deletion might directly or indirectly regulate *PDR1* expression. Our  
283 results obtained above could not explain the reason why the *PDR5* expression was weakly restricted  
284 in  $\Delta pdr3$  than  $\Delta lge1$  and *PDR1* expression was also done in  $\Delta pdr3$  and  $\Delta lge1$ .

285 When  $\Delta pdr5$  cells were treated with 125  $\mu$ M dodecanol, complete loss of cell viability was  
286 retained at 48 h, as shown in Fig. 6. A slight elevation of CFU was observed at 72 h. This indicated  
287 the possibility of drug efflux involving transporters other than Pdr5p. In addition, the fungicidal  
288 profile of anethole was similar in the presence and absence of the *PDR5* deletion (data not shown).  
289 This indicates that anethole may not be effluxed by Pdr5p.

290 The occurrence of antimicrobial drug resistance in pathogens is thought to reflect a process of  
291 adaptive evolution, generally as a result of genetic mutations [51]. Thus, cell suspensions were  
292 exposed to 125  $\mu$ M dodecanol for 48 h prior to identifying colonies of spontaneous revertants. The  
293 antifungal profile of anethole against 96% of these revertants was similar to that of the dodecanol-  
294 susceptible parental strain (data not shown). Namely, when the revertants were re-exposed to  
295 dodecanol, a complete loss of growth and the recovery of growth were observed after 24- and 48-  
296 h incubations, respectively, in 96% of the colonies obtained (data not shown), indicating no

297 acquisition of dodecanol-resistance. Anethole (312  $\mu$ M) in combination with 250  $\mu$ M dodecanol  
298 completely induced cell death in the dodecanol-susceptible parental strain, but only weakly  
299 inhibited the growth of 4% of the revertant colonies (Fig. 7). The size of most of these revertant  
300 colonies were significantly smaller than that of the dodecanol-susceptible parental strain when  
301 grown on ME agar plates indicating the occurrence of the petite colonies (data not shown). The  
302 petite mutants, which lack mitochondrial DNA and are respiration deficient, are resistant to due to  
303 overexpression of multidrug exhaust pumps [52]. Thus, dodecanol resistance might be due to at  
304 least *PDR5* overexpression in the revertants. In addition, these results indicated that 4% of the  
305 spontaneous revertants showed resistance against dodecanol when rechallenged. Regrettably,  
306 anethole could not produce synergistic antifungal effects against all of the revertants obtained.  
307 Conversely, co-treatment with anethole could contribute to restricting the occurrence of dodecanol-  
308 resistant cells.

309 Although anethole synergistically enhanced the antifungal activities of several natural products  
310 from plants against a human pathogenic *C. albicans* [14-16], the synergy of antifungals clinically  
311 used has not been reported in combination with anethole. *C. albicans* possesses *CDR1* and *CDR2*  
312 as homologs of *PDR5* and azole antifungals were reported to be exhausted by the products from  
313 *CDR1* and *CDR2* [53]. Although *C. albicans* is unable to survive lacking mitochondrial DNA [54],  
314 it is possible to obtain respiratory deficient strains due to mutations in mitochondrial DNA [55].

315 Furthermore, it has been reported that there is a relationship between upregulation of a major  
316 facilitator superfamily (MFS) transporter gene *MDR1* with its resulting higher azole resistance  
317 and uncoupled mitochondrial oxidative phosphorylation in *C. albicans* [56]. The restriction of  
318 MFS transporters gene expression are rather expected to show synergy in combination with  
319 anethole in the case of *C. albicans*.

320 We examined the effect of anethole on the MIC of fluconazole against *C. albicans*. The MIC  
321 of fluconazole was lowered from 2500 to 312 ng/ml when 100  $\mu$ M of anethole was combined (Fig.  
322 8). As a result, the activity of fluconazole was increased 8-fold and the FIC index was namely  
323 0.375 indicating synergy.

#### 324 **4. Conclusions**

325 The results obtained in this study suggest that anethole exhibits a synergistic fungicidal effect  
326 in combination with dodecanol via restriction of *PDR5* expression. *PDR5* encodes Pdr5p, which  
327 plays a major role in the efflux of harmful drugs for cellular detoxification. However, anethole  
328 reduced the expression of several other efflux pumps, in addition to *PDR5*. Therefore, it is highly  
329 possible that anethole potentiates the antifungal effects of a range of drugs by reducing the  
330 expression of efflux pump genes and thus inhibiting drug efflux.

331

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335

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339

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476

477 **Figure captions**

478

479 **Fig. 1.** Resulting isobologram of the MICs and MFCs obtained with combinations of anethole and  
480 dodecanol against *S. cerevisiae*. Exponentially growing cells of *S. cerevisiae* ( $1 \times 10^6$  cells/ml) were  
481 incubated in 2.5% ME broth at 30°C. Data are indicated as MICs or MFCs. The dotted line indicates  
482 an additive effect and belonging to area left under of the dotted line shows a synergistic effect on  
483 the MIC.

484

485 **Fig. 2.** Effects of anethole and/or dodecanol on *S. cerevisiae* viability. Exponentially growing cells  
486 of *S. cerevisiae* were incubated in 2.5% ME broth at 30°C with (upper panel) no drug (filled circles)  
487 or anethole at 313  $\mu$ M (filled diamonds), 625  $\mu$ M (filled triangles), or 1250  $\mu$ M (filled squares);  
488 (middle panel) no drug (filled circles) or dodecanol at 125  $\mu$ M (open triangle) or 250  $\mu$ M (open  
489 squares); and (lower panel) no drug (filled circles), 313  $\mu$ M anethole + 125  $\mu$ M dodecanol (open  
490 circles), and 313  $\mu$ M anethole + 250  $\mu$ M dodecanol (open diamonds). Data are means  $\pm$  standard  
491 deviations of triplicate experiments.

492

493 **Fig. 3.** Relative expression of genes related to drug efflux pumps. Exponentially growing *S.*  
494 *cerevisiae* cells were incubated with 312.5  $\mu$ M anethole (Anethole), 32  $\mu$ M dodecanol (Dodecanol),

495 or these treatments in combination of 312.5  $\mu$ M anethole and 32  $\mu$ M dodecanol (Combination) in  
496 2.5% ME medium at 30°C for 4 h prior to extraction of total RNA. Control indicates cells incubated  
497 without drugs. After incubation, the mRNA level of each gene was determined using reverse  
498 transcription polymerase chain reaction. Actin (*ACT1*) cDNA amplification was used to normalize  
499 the signal. The results quantitated are shown in a lower panel. Data are means  $\pm$  standard deviations  
500 of triplicate experiments.

501  
502 **Fig. 4.** Effect of PDR-related gene deletion on the antifungal activity of dodecanol. Upper panel:  
503 Exponentially growing cells of parent (filled circles),  $\Delta pdr1$  (filled squares),  $\Delta pdr3$  (filled  
504 triangles),  $\Delta pdr5$  (open squares),  $\Delta pdr11$  (open triangles),  $\Delta pdr12$ , (open circles), and  $\Delta pdr15$   
505 (filled diamonds) strains were incubated in 2.5% ME broth supplemented with 250  $\mu$ M dodecanol  
506 at 30°C. Lower panel: Cells were incubated in 2.5% ME broth supplemented with 250  $\mu$ M  
507 dodecanol at 30°C. Viability after 72-h treatment with dodecanol was also shown. Data are means  
508  $\pm$  standard deviations of triplicate experiments.

509  
510 **Fig. 5.** Relative expression of *ACT1*, *PDR1*, *PDR3* and *PDR5* in  $\Delta pdr1$ ,  $\Delta pdr3$  and  $\Delta lge1$  and their  
511 parental strain. Exponentially growing cells were incubated in 2.5% ME broth supplemented with  
512 32  $\mu$ M dodecanol at 30°C for 4 h prior to extraction of total RNA. After incubation, the mRNA

513 level of each gene was determined using reverse transcription polymerase chain reaction. Data are  
514 means  $\pm$  standard deviations of triplicate experiments.

515

516 **Fig. 6.** Fungicidal effects of anethole, dodecanol, and their combination on the parental and  $\Delta pdr5$   
517 *S. cerevisiae* strains. Exponentially growing cells were incubated in 2.5% ME broth at 30°C in the  
518 presence of no drug (filled circles), 313  $\mu$ M anethole (filled diamonds), 125  $\mu$ M dodecanol (filled  
519 triangles), or 313  $\mu$ M anethole + 125  $\mu$ M dodecanol (open circles). Data are means  $\pm$  standard  
520 deviations of triplicate experiments.

521

522 **Fig. 7.** Fungicidal effects of anethole, dodecanol, and their combination on one dodecanol-resistant  
523 strain obtained from dodecanol-treated cells. Exponentially growing *S. cerevisiae* revertant cells  
524 were incubated in 2.5% ME broth at 30°C in the presence of no drug (filled circles); anethole at  
525 313  $\mu$ M (filled diamonds), 625  $\mu$ M (filled triangles), or 1250  $\mu$ M (filled squares); dodecanol at 125  
526  $\mu$ M (open triangles) or 250  $\mu$ M (open squares); 313  $\mu$ M anethole + 125  $\mu$ M dodecanol (open  
527 circles) or 313  $\mu$ M anethole + 250  $\mu$ M dodecanol (open diamonds). Data are means  $\pm$  standard  
528 deviations of triplicate experiments.

529

530 **Fig. 8.** Resulting isobologram of the MICs obtained with combinations of anethole and fluconazole

531 against *C. albicans*. Exponentially growing cells of *C. albicans* were incubated in 2.5% ME broth  
532 at 30°C. Data are indicated as MICs. The dotted line indicates an additive effect and belonging to  
533 area left under of the dotted line shows a synergistic effect on the MIC.

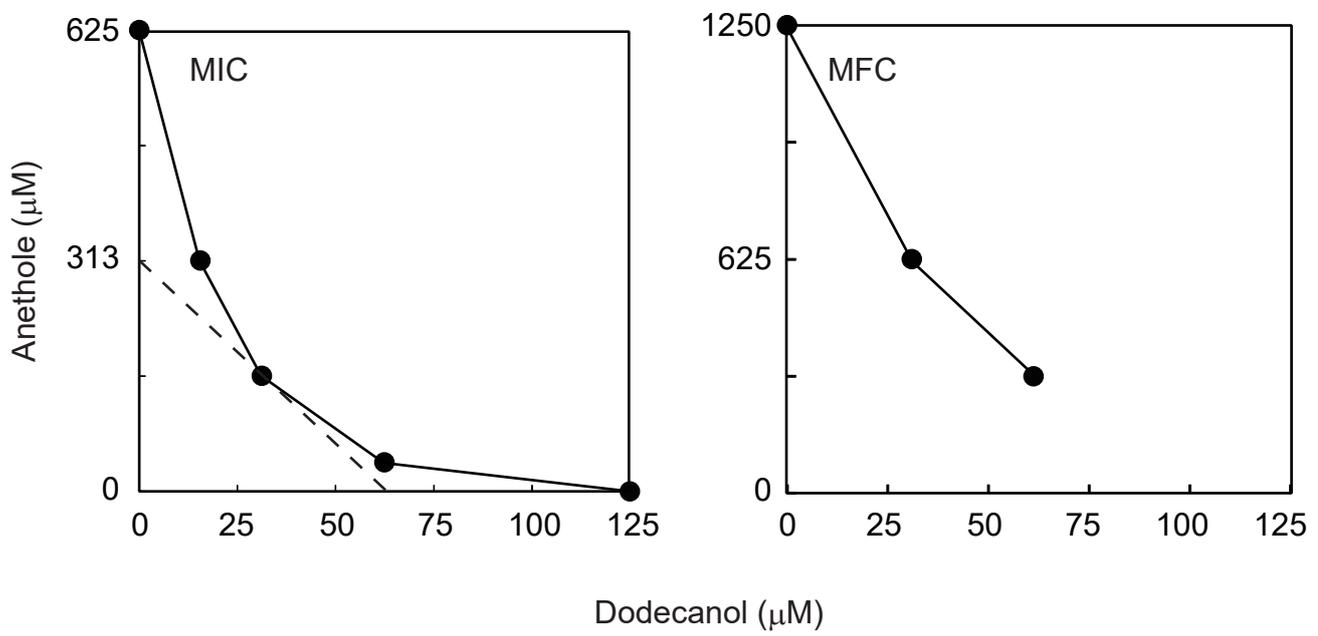


Fig. 1. Fujita et al.

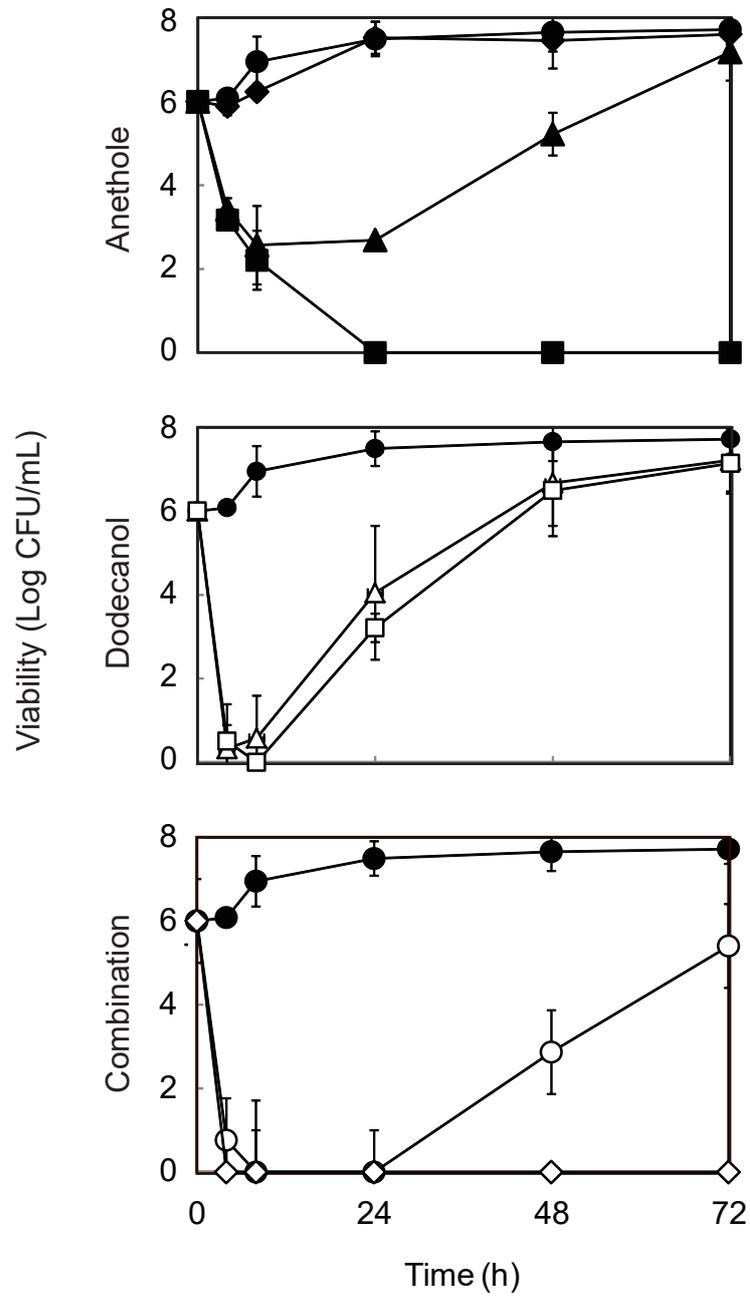


Fig. 2. Fujita et al.

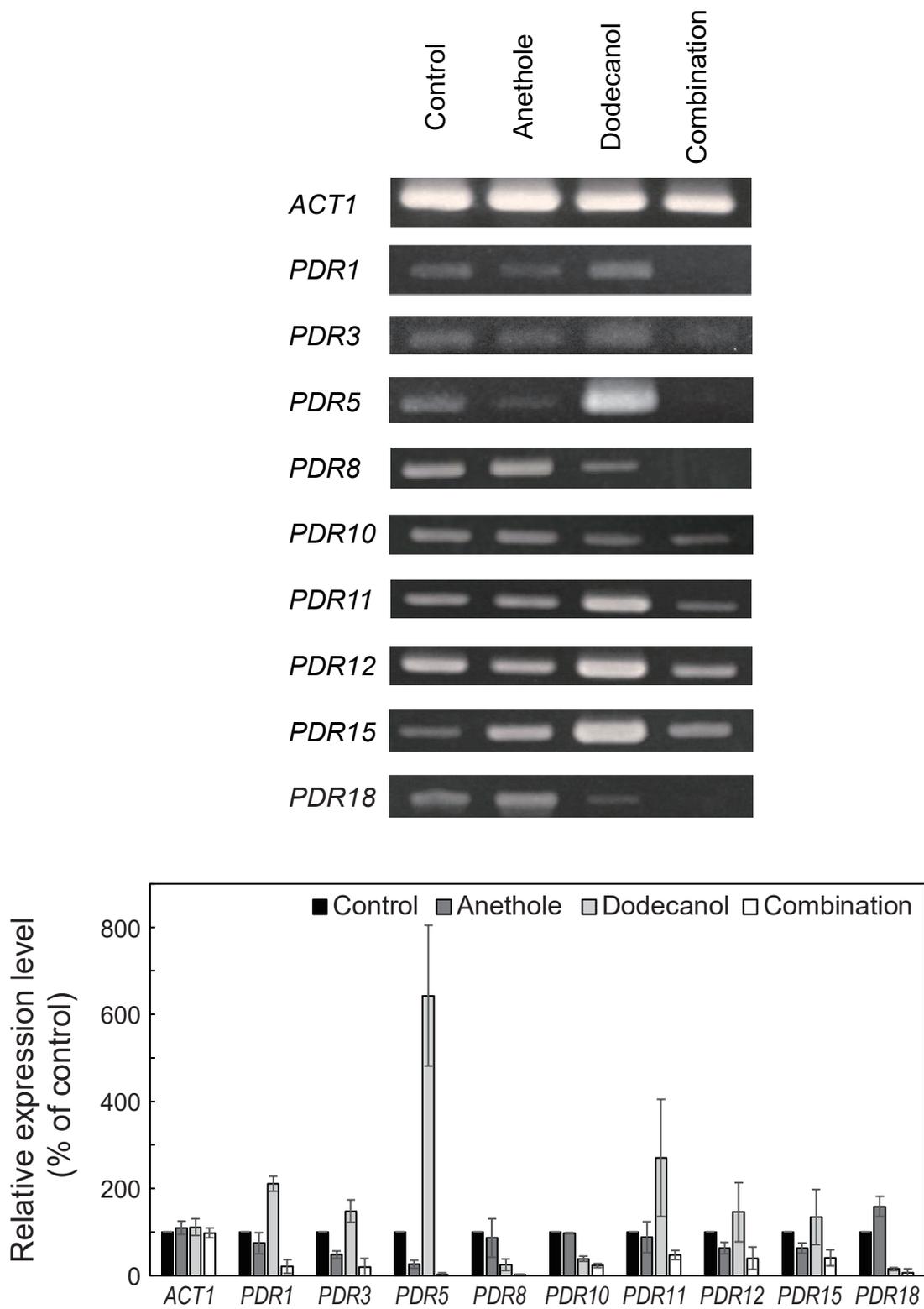


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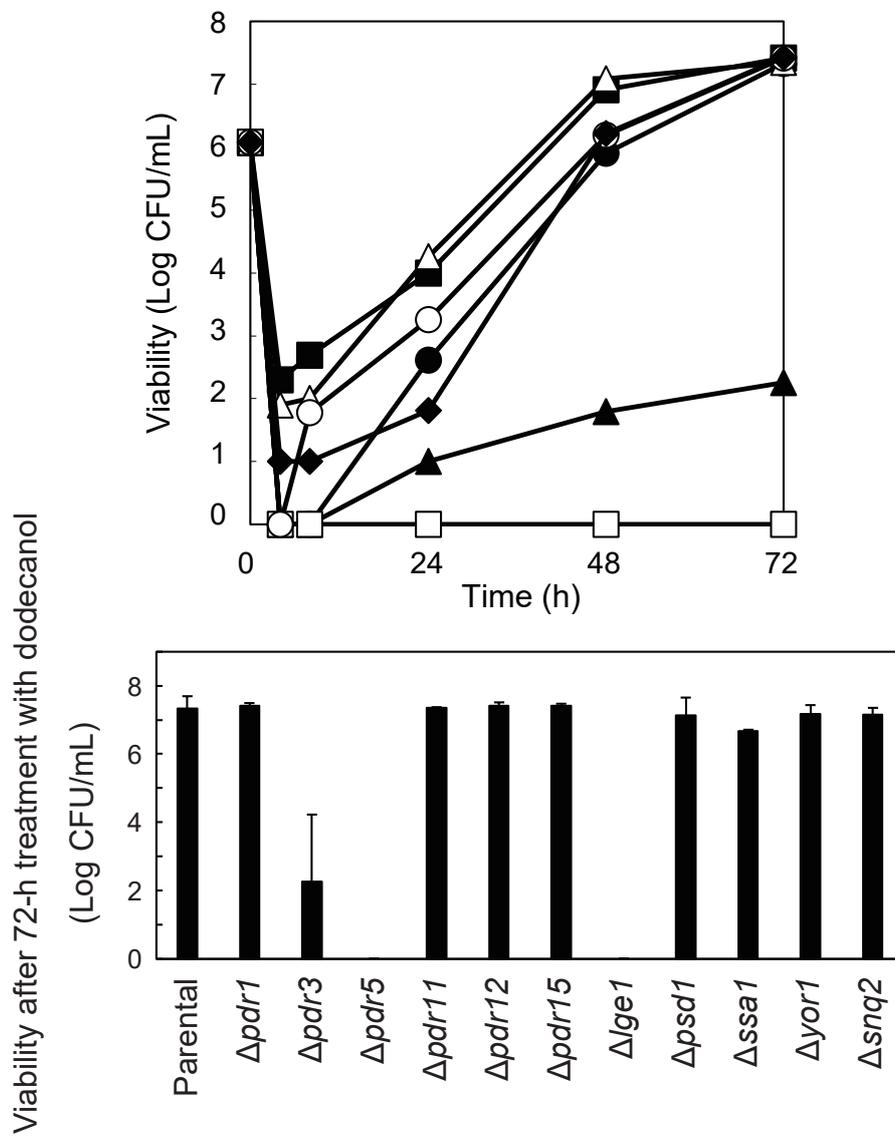


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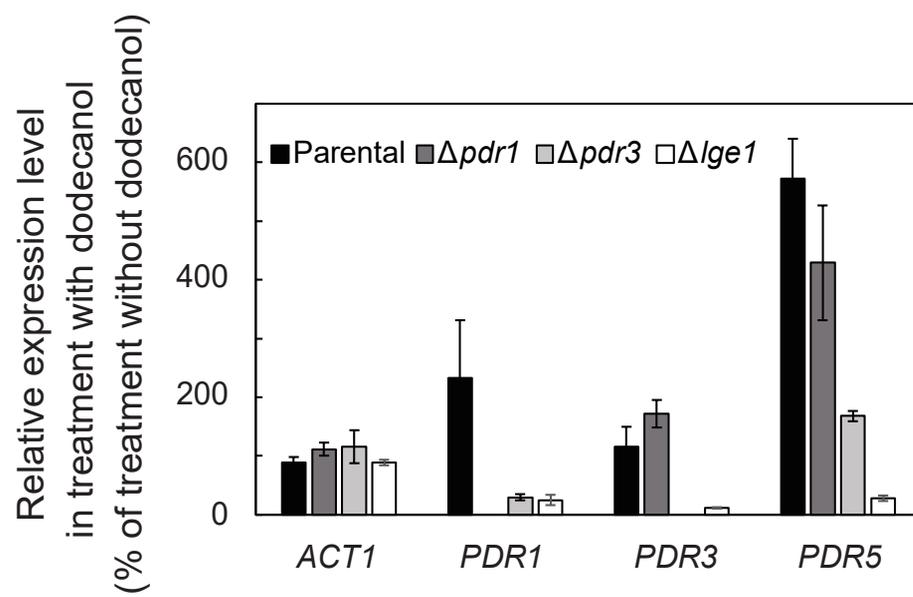


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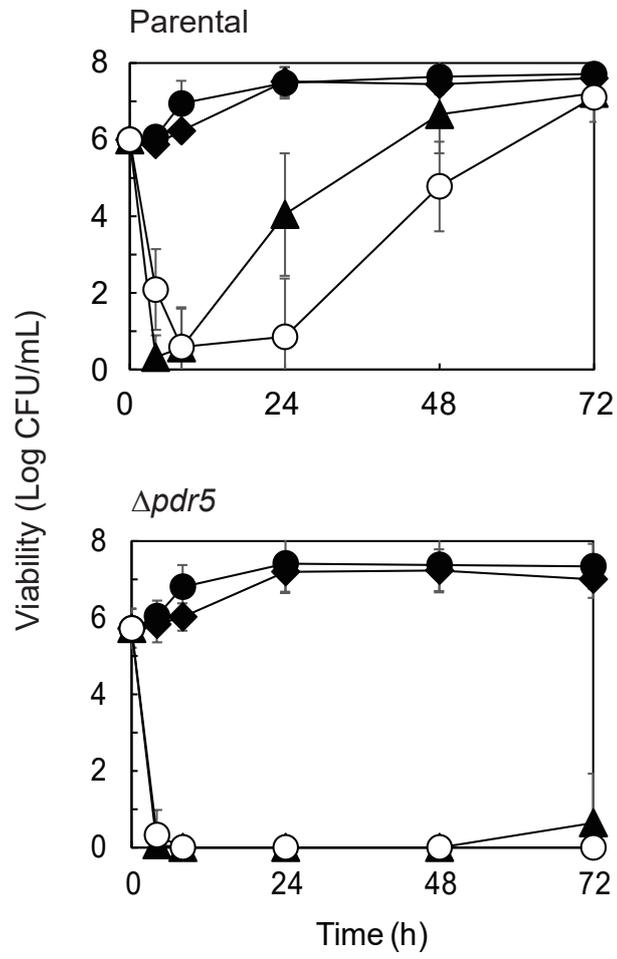


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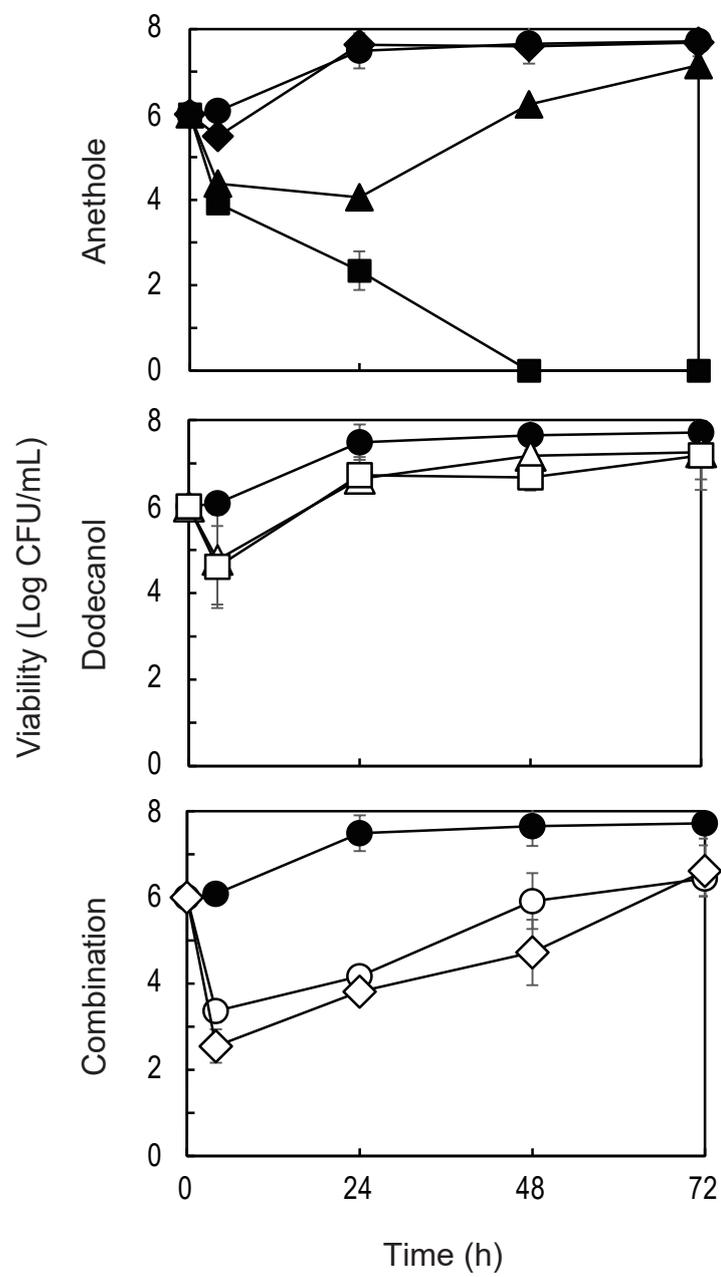


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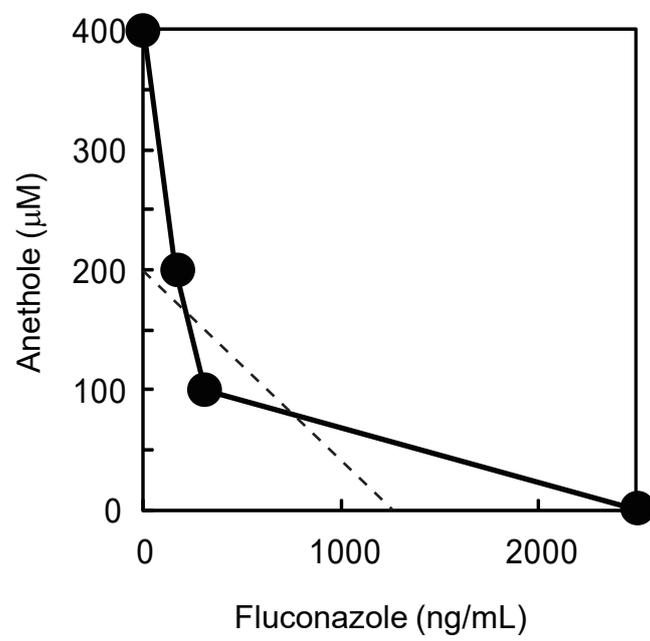


Fig. 8. Fujita et al.